



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hendricksen et al.
Serial No.: 10/645,473
Confirm. No.: 8003
Customer No.: 24961
Filed: August 20, 2003
For: **DELIVERY METHODS AND
DEVICES FOR IMPLANTABLE
BRONCHIAL ISOLATION DEVICES**

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, on this date.

01/26/04
Date



Lynn Morkunas

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Under **APPLICANT(S)**, please insert the following corrected information:

**MICHAEL HENDRICKSEN, REDWOOD CITY, CA;
RONALD R. HUNDERTMARK, SAN MATEO, CA;
DAVID R. THOLFSEN, SAN FRANCISCO, CA
PETER M. WILSON, KILLINGWORTH, CT;
ANTONY J. FIELDS, SAN FRANCISCO, CA**

Applicants respectfully request that this error be corrected and Applicants be provided a corrected filing receipt.

**U.S.S.N. 10/645,473
Hendrickson et al.
REQUEST FOR CORRECTED FILING RECEIPT**

- The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,
HELLER EHRMAN WHITE & McAULIFFE

By:


Fred C. Hernandez
Registration No. 41,832

Attorney Docket 38349-0121

Address all correspondence to:

Stephanie L. Seidman, Esq.

HELLER EHRMAN WHITE & McAULIFFE

4350 La Jolla Village Drive, 7th Floor

San Diego, California 92122-1246

Telephone: (858) 450-8400

Facsimile: (858) 587-5360

E-mail: sseidman@HEWM.com



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/645,473	08/20/2003	2855	746	38349-0121	30	40	6

CONFIRMATION NO. 8003

Stephanie L. Seidman
Heller Ehrman White & McAuliffe LLP
7th Floor
4350 La Jolla Village Drive, Suite 700
San Diego, CA 92122-1246

UPDATED FILING RECEIPT



OC000000011659121

Date Mailed: 01/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

REDWOOD

Michael Hendrickson, Reedwood City, CA;
Ronald R. Hundertmark, San Mateo, CA;
David R. Tholfsen, San Francisco, CA;
Peter M. Wilson, Killingworth, CT;
Antony J. Fields, San Francisco, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/405,418 08/21/2002
and is a CIP of 09/797,910 03/02/2001
and is a CIP of 10/270,792 10/10/2002

Foreign Applications

If Required, Foreign Filing License Granted: 11/15/2003

Projected Publication Date: 04/22/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Delivery methods and devices for implantable bronchial isolation devices

Preliminary Class

073

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).